

2822

HDP/SB/21 based on PTO/SB/21 (08-00)



Please type a plus sign (+) inside this box → ☐

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/945,247		
	<b>Filing Date</b>	August 31, 2001	
	<b>First Named Inventor</b>	Higashi et al.	
	<b>Group Art Unit</b>	2822	
	<b>Examiner Name</b>	Ida M. Soward	
<b>Total Number of Pages in This Submission</b>	4	<b>Attorney Docket Number</b>	9319T-000281

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Acknowledgement Postcard.</b>
<b>Remarks</b>		<b>The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.</b>

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Harness, Dickey & Pierce, P.L.C.	<b>Attorney Name</b>	G. Gregory Schivley	<b>Reg. No.</b>	27,382
<b>Signature</b>					
<b>Date</b>	April 11, 2003				

## CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

<b>Typed or printed name</b>	G. Gregory Schivley		
<b>Signature</b>		<b>Date</b>	April 11, 2003

RECEIVED  
APR 16 2003  
TECHNOLOGY CENTER 2800



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

TECHNOLOGY CENTER 2800

RECEIVED  
APR 16 2003

Application No.: 09/945,247  
Filing Date: August 31, 2001  
Applicant: Higashi et al.  
Group Art Unit: 2822  
Examiner: Ida M. Soward  
Title: Method for Fabrication of Field-Effect Transistor  
Attorney Docket: 9319T-000281

---

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Sir:

Prior to examination of the present application, please consider the following.

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 7 of this paper.